Form-1A
[SEC Rule 8(1) (ccc)]

K - Securities & Consultants Limited.

Member No. 122 Dhaka Stock Exchange Limited
SEC REG No: 3.1/DSE-122/2004/82
DEPOSITORY PARTICIPANT-DP-113
Head Office : Suite # 604, Modhumita Building 5th Floor
158-160, Motijheel C/A, Dhaka-1000. **Phone :** 7176986-7
E-mail : userinfo@ksecuritiesbd.com, **Website :** www.ksecuritiesbd.com

1st Applicants P.P.S	2nd Applicants P.P.S

CUSTOMER ACCOUNT INFORMATION FORM

Customer Code: _____   **BO ID:** | 1 | 2 | 0 | 2 | 3 | 5 | 0 | 0 | | | | | | |

Account Type : Cash ☐   Margin ☐   Accounts Status : **Individual** ☐ **Joint** ☐

Special Remarks, (if any) ....

Name of the Customer/Accounts Holder : ....

Father' s/Husband's Name : ....

Mother's Name : ....

Date of Birth : .... Sex : Male ☐   Female ☐   Nationality..

Address : ....

....National ID ....

Passport No : ....Place of Issue :...Expair Date : ....

Phone ....Mobile No. .... E-mail : ....

Joint Account holder's Name : (2nd Applicant) ....

Father's/Husband's Name : ....

Mother's Name : ....

Date of Birth : .... Sex : Male ☐   Female ☐   Nationality ....

Address :...

....National ID ....

Phone .... Mobile No. .... E-mail : ....

Bank Name :...Branch Name :.. Account No. ....

Name with address of the authorized person (if any) of the Account Holder to deal with **K-Securities & Consultants Ltd.**

(A passport size photograph of the Authorized Person is required to be attested by the Customer)

Name of the Authorized Person : ....

Address : ....Mobile...

If the Account Holder/Account Holders is an Officer or Director of any Stock Exchange/Listed Company ? Yes ☐ **No** ☐

If yes, Name & Address of the Stock Exchange/Listed Company ....

Name & Address of the Person introducing the Customer (if any) ....

....

Special Instruction (If any) ....

Name of Nominee ....Relationship..............................

Age .... Signature of Nominee ....National ID........................

Nominee P.P.S

_____
Signature of introducer (if any)
Date:

_____
Signature of authorized person/Nominee
Date:

_____
Signature of Customer (1st Applicant)
Date:

_____
Signature of Joint Account Holder (2nd Applicant)
Date:

_____
Authorized Signatory Accepting the Account
Date:

_____
Managing Director, **K-Securities & Consultants Ltd.**
Date:

TERMS AND CONDITIONS

BROKER" Means **K-SECURITIES & CONSULTANTS LTD.**

Buyer " Mean the person or persons or company who intends to buy securities for him her/them through the BROKER.

"SELLER" mean the person or person or company who intends to sell his/her/thewir securities though the BROKER.

"Securities Account" mean the account opened by the seller/buyer with the - Broker on which transactions carried out by the Broker on hehalf of the Seller/Buyer at the Stock Exchange are setted/clearded with the Stock Exchange.

"CDBL" means Central Depository Bangladesh Limited incorporated on 20th August 2000 in Bangladesh Under Central Depository Act.

SALE ORDER

The Seller shall deliver to the Broker valid and negotiable document i.e. transfer/s documents duly completed and signed by the Seller together with relative securities certificate with valid title, prior to placing sale order.

If for any reason whatsoever securities documents delivered by Seller turns out to be forged, invalid, worn out, torn or defaced, the defaculting Seller shall be liable to his Broker for any loss or damage sustained or incurred. The defaulting Seller shall be liable to replace such secutiries along with all benefits attributable to such securities within two days of reporting in writing to the Seller by the Broker. If for any reason the defaulting Seller fails to replace such securities along with all benefits attributable to such securities within two days of reporting in writing to the seller by the Broker, the Broker shall have the absolute discretion, to square-up the transaction commencing from the market day after the stipulated period (as above), at the Sellers risk and the seller shall be liable to the Broker for any loss or damage sustained or incurred.

PAYMENT TO SELLER

The Broker shall make payment to seller on the settlement day, subject to the overall cash balance of the Seller's Securities Account", and may retain the anticipated charges.

PURCHASE ORDER

The Buyer shall pay to Broker total value of the Purchase order in the Buyers Securities Account" prior to placing a Purchase order.

PAYMENT BY BUYER

The Buyer shall pay to Broker on or before the settlement day balance amount (if any, including charges of all securities purchased by him during the period of dealing for that settlement. If the Buyer defaults for whatever reason, he shall be liable to his Broker for all loss or damage sustained or incurred. In addition, to adjust the outstanding amount, the buying BROKER shall have the absolute discreting, to resell commencing from the market day after the day of settlement, the securities at the Buyer's risk and the Buyer shall be liable to the buying Broker for any loss or damage sustained or incurred.

SETTLEMENT THROUGH CDBL.

If the CDBL is involved in the settlement porcess, client should follow the under mentioned rules: client must maintain a Beneficiary Owner account with the BROKER.

Client will pay the charges for CDBL, if necessary to transfer the shares from client B.O account to broker clearing account and clearing account to B.O account.

Broker reserves the absolute right to deduct the charges at source where applicable related to client's CDBL as given by the client.

Client will be liable for any losses or damages occurred due to wrong or incorrect information related to CDBL as given by the client.

GOVERNING LAW

All transactions shall be subject to the rules and regulations of the Dhaka Stock Exchange Ltd. and other prevailing laws and regulationjs of Bangladesh and in particular the authority herein after granted by the client to the BROKER.

AUTHORITY TO THE BROKER

Broker reserves the absoluted right for sale/buy/make adjustment/transfer of any Securities at Client's risk in order to set off all losses, damages and debit amount /shares/securities of the "Client Account"

Client shall be bound to furnish such other particulars, documents and/or information that may reasonably be required from time to time.

Broker shall have the right to change/modify the terms and conditions when may deem necessary without any notice to the client.

We hereby accept the above terms and conditions and we declare that the information given is true and correct.

Applicants	Full Name	Signature with Date
First Appicant		
Second Applicant		